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Simple low-cost construction and calibration of accurate pneumotachographs for monitoring mechanical ventilation in low-resource settings

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Assessing tidal volume during mechanical ventilation is critical to improving gas exchange while avoiding ventilator-induced lung injury. Conventional flow and volume measurements are usually carried out by built-in pneumotachographs in the ventilator or by stand-alone flowmeters. Such flow/volume measurement devices are expensive and thus usually unaffordable in low-resource settings. Here, we aimed to design and test low-cost and technically-simple calibration and assembly pneumotachographs. The proposed pneumotachographs are made by manual perforation of a plate with a domestic drill. Their pressure-volume relationship is characterized by a quadratic equation with parameters that can be tailored by the number and diameter of the perforations. We show that the calibration parameters of the pneumotachographs can be measured through two maneuvers with a conventional resuscitation bag and by assessing the maneuver volumes with a cheap and straightforward water displacement setting. We assessed the performance of the simplified low-cost pneumotachographs to measure flow/volume during mechanical ventilation as carried out under typical conditions in low-resource settings, i.e., lacking gold standard expensive devices. Under realistic mechanical ventilation settings (pressure- and volume-control; 200–600 mL), inspiratory tidal volume was accurately measured (errors of 2.1% on average and < 4% in the worst case). In conclusion, a simple, low-cost procedure facilitates the construction of affordable and accurate pneumotachographs for monitoring mechanical ventilation in low- and middle-income countries.

KEYWORDS

tidal volume, flow measurement, mechanical ventilation, calibration, pneumotachograph, low- and middle-income countries

Introduction

The importance of mechanical ventilation in patients requiring intensive care for acute respiratory failure has been recently underlined as a public health issue worldwide in the context of the COVID-19 pandemic. This major healthcare emergency has highlighted the need for sufficient mechanical ventilators to be readily available even in countries with the most developed health care systems (1). However, despite the acute need for mechanical ventilators because of COVID-19 or other future pandemics, the lack of these fundamental intensive care medical devices is an important chronic problem in low- and middle-income countries (LMICs) (2). Indeed, given the very high cost of conventional commercially available ventilators, these medical devices are essentially unaffordable with the low resources available in LMICs at present and in the foreseeable future. Therefore, having a sufficient number of ventilators available in low-resource settings requires an effort to develop much more affordable devices (3, 4), a proposal that has received accrued interest in recent years because of the current pandemic (5). Importantly, mechanical ventilators suitable for LMICs should be robust and easy to check and repair locally, since technical servicing and maintenance of medical devices is also a chronic problem in LMICs, as reflected by the fact that medical devices from philanthropic donations tend to become useless within short periods after their installation due to the lack of appropriate maintenance (6). This is particularly relevant in the case of mechanical ventilators for ICUs since the accuracy of these devices is more likely to be compromised particularly in developing countries (7).

Measuring inspiratory airflow and computing the associated tidal volume is crucial for achieving suitable gas exchange levels while providing protective ventilation (8) thereby avoiding ventilator-induced lung injury (9) and patient-self-inflicted lung injury (10). Measurement of flow and volume, which is particularly susceptible to errors in mechanical ventilators (11, 12), is usually carried out by built-in conventional pneumotachographs with a flow-sensing resistor based on a mesh screen (Lilly-type) or on parallel capillaries (Fleisch-type). Such pneumotachographs have the important advantage of being linear (pressure drop across the resistor proportional to flow). However, their use in mechanical ventilators for LMICs is fraught with two major drawbacks resulting from the very small size of their sensing components (mesh screens or capillaries). Specifically, their fabrication is complex and thus expensive, and their correct function can be challenged in case of poor maintenance usually resulting in partial obstruction of the sensing capillaries or mesh screen orifices. Other potential technical approaches for flow measurement involve exceedingly expensive devices (e.g., ultrasound-based sensors or hot-wire anemometers) with potentially lower robustness (13).

In this context, the work described herein aimed to devise and test a procedure for very easily constructing and calibrating low-cost pneumotachographs that are robust, accurate, and affordable for users in low-resource regions. The devised procedure, which does not require expensive gold standard measuring devices (14, 15), was tested for monitoring flow and volume during mechanical ventilation.

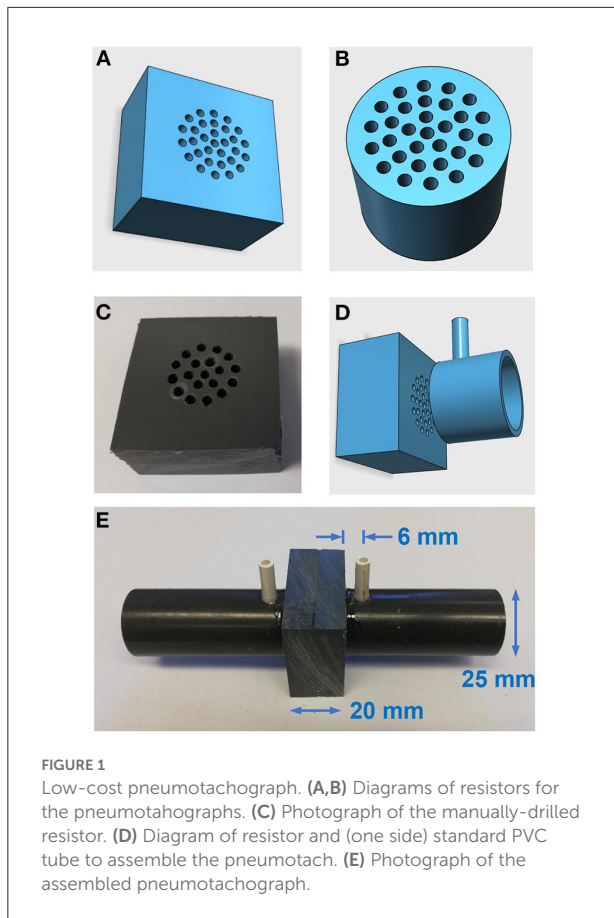
Methods

Construction of the low-cost pneumotachograph

The simple and low-cost procedure proposed for constructing an accurate pneumotachograph is based on creating a resistor by drilling a series of parallel narrow cylindrical channels, as illustrated in Figure 1. We used conventional drill bits of 2.0, 2.5, or 3.0 mm in diameter with a domestic Dremel-type drill. Resistors were made by drilling polyvinyl chloride (PVC) material, either cylinders (2.5 cm in diameter, 2.0 cm in length) or 2 cm-thick rectangular pieces. In both cases, the pneumotachograph was built by assembling the resistor with inlet-outlet tubes having connectors for a differential pressure transducer to sense the resistor pressure drop caused by the airflow to be measured (Figure 1). To guide the drilling and tube attachment positions, the pattern was first drawn on paper and then transferred to the PVC piece. For a general non-linear resistor, the relationship between airflow (V') and differential pressure signal (P) is described by the classical Rohrer model (16): $P = K_1V' + K_2V'^2$. Accordingly, effective resistance R ($R = P/V'$) is $R = K_1 + K_2V'$, and hence K_1 and K_2 represent the linear and non-linear components of the resistor, respectively.

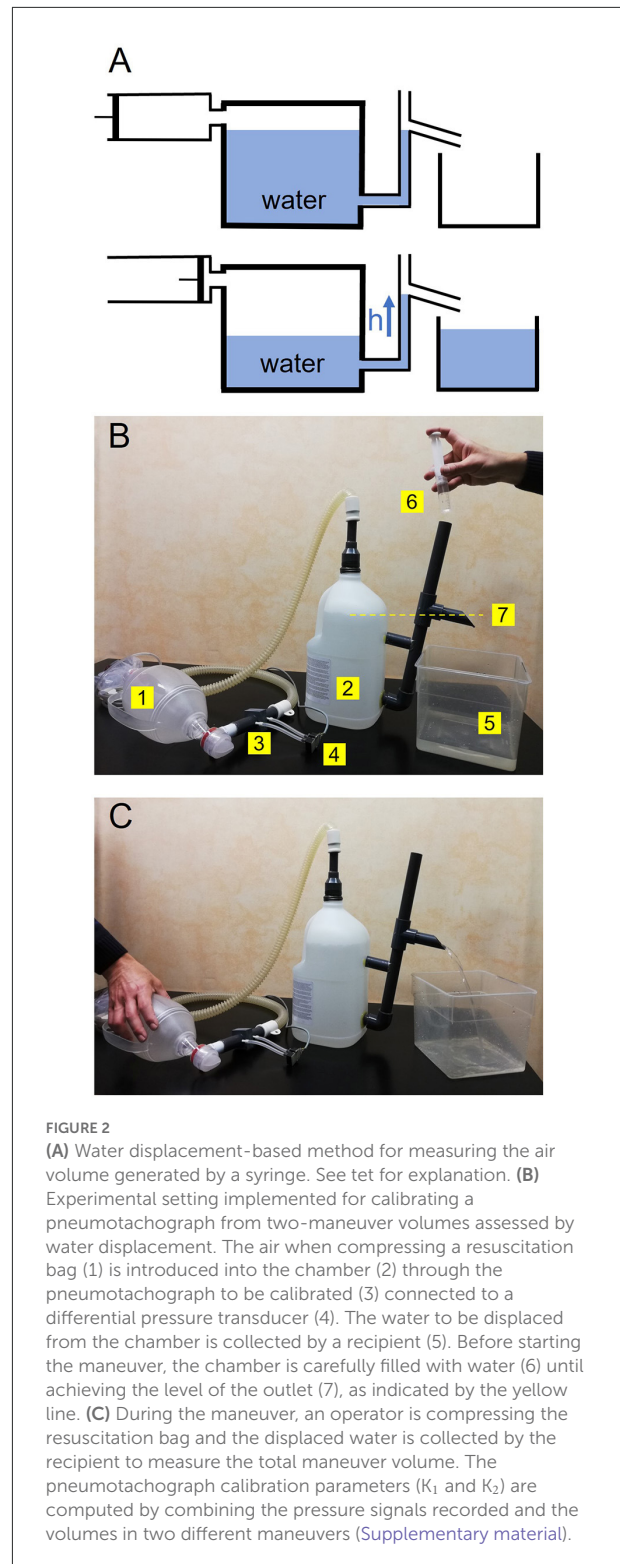
Simple calibration of the pneumotachograph from two maneuvers of known volume

We have devised a simple procedure for accurately calibrating a non-linear pneumotachograph. Indeed, as delineated in greater detail in Supplementary material, K_1 and K_2 can be determined from the pressure signals (P_1 and P_2) recorded from the pneumotachograph during any two different maneuvers involving known volumes (V_1 and V_2 , respectively). The proposed setting, which is based on measuring the volume of air from the volume of water displaced by the airflow maneuver, is described in Figure 2A, in which the top and bottom panels correspond to the initial and final times of the maneuver, respectively. The air volume to be measured is introduced into a chamber containing water and displaces the same volume of water outside the chamber which is collected



by an external recipient and measured (Supplementary material presents the method development and discussion from the relevant physical laws). Following this approach, we calibrated one of the constructed pneumotachographs from two maneuvers manually carried out with a conventional disposable resuscitation bag (SPUR II adult, Ambu A/S, Ballerup, Denmark) and we measured the maneuver volume by water displacement. Implementation of the setting in Figure 2A was based on a 5-L (16 cm in diameter) common-use plastic bottle and is shown in Figures 2B,C.

We assessed the practical reproducibility of the procedure for calibrating the low-cost pneumotachograph number 2 in Table 1 [connected to a differential pressure transducer (± 2 cmH₂O, LCVR; Celesco, Canoga Park, CA, USA)] from the volumes of the manual maneuver determined by water displacement. To cover a range of flows representative of those commonly used in mechanical ventilation, the maneuvers should include relatively both low and high flows within the clinical ranges. To this end, the resuscitation bag operator (who was blind to any pressure or flow signal) was simply asked to perform two maneuvers: (a) slowly, with an almost constant compression rate to empty the resuscitation bag (≈ 1 L) in 6–10 s



(to target flows in the range 0.1–0.2 L/s), and (b) a fast maneuver to almost empty the bag in 2–3 s to ensure higher flows. As this maneuver offered more possible options to select the rate

TABLE 1 K_1 and K_2 of the constructed pneumotachographs.

Pneumotachograph number	Diameter (d) and number (N) of drilled channels	Flow direction	K_1 cmH ₂ O·s/L	K_2 cmH ₂ O·(s/L) ²
1	d = 2 mm, N = 31	Exhalation	0.383	1.323
		Inhalation	0.343	1.284
2	d = 2.5 mm, N = 19	Exhalation	0.273	1.115
		Inhalation	0.273	1.232
3	d = 3 mm, N = 19	Exhalation	0.133	0.585
		Inhalation	0.120	0.564
4	d = 2.5 mm, N = 32	Exhalation	0.129	0.389
		Inhalation	0.125	0.370
5	d = 2.75 mm, N = 29	Exhalation	0.083	0.297
		Inhalation	0.089	0.317

Pneumotachograph's resistors were made by perforating a cylindrical (1, 3, and 4) or a rectangular (2 and 5) piece, as illustrated in Figure 1.

of bag compression, the operator was asked to carry out four maneuvers of this type.

Assessment of the low-cost pneumotachograph to monitor mechanical ventilation

To test the actual performance of the simple and low-cost pneumotachograph and its calibration procedures, we tested them when measuring flow and volume during typical mechanical ventilation (Servo 900C, Siemens, Munich, Germany). The pneumotachograph calibrated by the resuscitation bag maneuvers (number 2 in Table 1) was placed in the inspiratory line of the mechanical ventilation tubing. Ventilation was applied to a patient model (Adult SmartLung; IMT Analytics, Buchs, Switzerland) with a respiratory resistance (20 cmH₂O) and a compliance (20 mL/cmH₂O) mimicking a patient with severe lung disease. To have the highest possible accuracy reference, a Fleisch-type pneumotachograph (number 2, Metabo, Epalinges, Switzerland) with a pressure transducer (± 2 cmH₂O, LCVR; Celesco, Canoga Park, CA, USA) was also included in series in the inspiratory line of the ventilator. The flow signal V' from the pneumotachograph under test was computed from its K_1 and K_2 obtained by water displacement from manual maneuvers and the pressure signal P recorded from the pneumotachograph. The flow signals from both pneumotachographs were recorded and the associated volumes were computed by flow integration. Comparisons were made for different mechanical ventilation settings (for both pressure and volume control modes) covering a realistic range of tidal volumes in patients (200–600 mL). These inspiratory maneuvers covered the ample range of flow magnitudes (up to >1.2 L/s) and waveforms in routine

mechanical ventilation in patients with different diseases (Supplementary Figure S2).

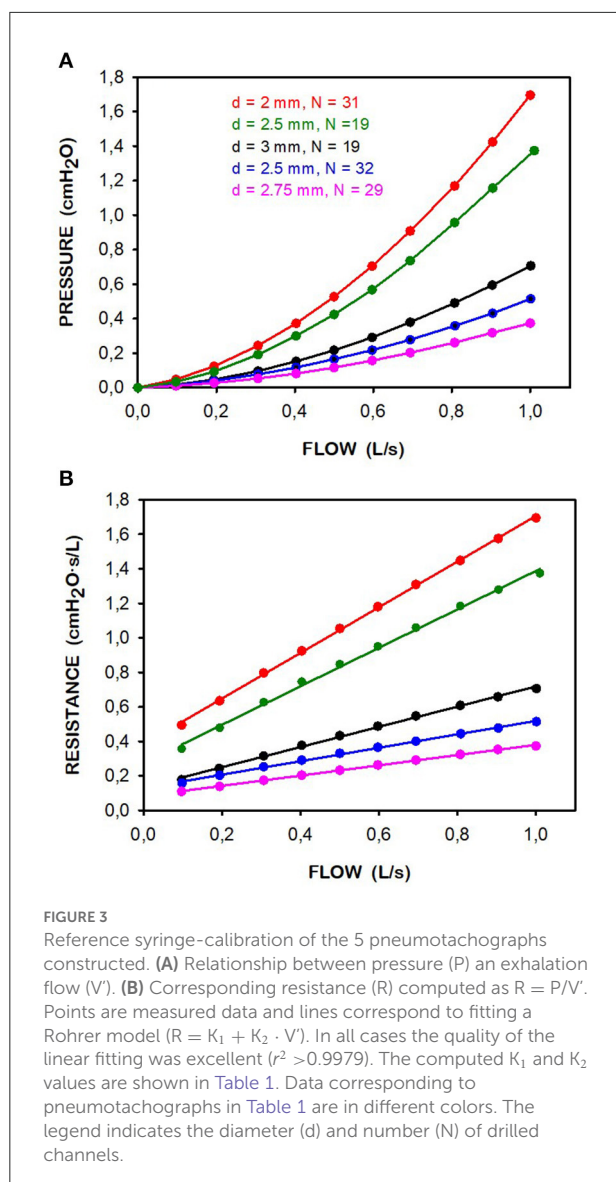
Results

Construction of simple pneumotachographs

As expected, the $P-V'$ relationship of the low-cost pneumotachographs constructed (Figure 1) was accurately described by the Rohrer model. Figure 3 (top panel) shows the parabolic $P-V'$ relationship measured using a reference calibration syringe (Supplementary material), and Figure 3 (bottom panel) shows the corresponding values of resistance R and the Rohrer model fitting. Table 1 describes the details of the five constructed pneumotachographs and their values of K_1 and K_2 for both inhalation and exhalation flow directions.

Procedure for calibrating the non-linear pneumotachograph from two maneuvers of known volumes

Figure 4 shows the signals recorded from the resuscitation bag maneuvers, as shown by the flow measured by the reference pneumotachograph. As devised, maneuver 1 had more duration and lower flow than the other four ones which involved higher flows. Table 2 shows the calibration parameters K_1 and K_2 of the low-cost pneumotachograph (number 2 in Table 1) determined from the pressure signals recorded during maneuvers 1 and 2 (Figure 4), and using the volumes measured by water displacement. Table 2 also shows the K_1 and K_2 values that were computed when the low-flow maneuver 1 was combined with the other high-flow maneuvers, showing minimal differences ($<$



3% coefficients of variation, Table 2) and thus high procedure reproducibility.

Assessment of the low-cost pneumotachograph and calibration procedure to monitor mechanical ventilation

The low-cost pneumotachograph with its K_1 and K_2 determined by the water-displacement procedure from two resuscitation bag-generated maneuvers (1 and 2 in Figure 4; Table 2) was able to very closely reproduce the flow recorded with the reference Fleisch-type pneumotachograph, as shown

by the example of the mechanical ventilation inspiratory flow simultaneously measured by both pneumotachographs (Figure 5).

Figure 6 compares the inspiratory tidal volume measured by the reference pneumotachograph and by the pneumotachograph under test. In this case, the figure depicts the values obtained when using the different K_1 and K_2 values derived from different combinations of resuscitation bag maneuvers (Table 2), showing very close concordance. Errors in tidal volume assessment were 2.1% on average and <4% in the worst case.

Discussion

This study provides a novel approach for simply constructing and calibrating accurate pneumotachographs for measuring flow and volume to be used during mechanical ventilation. The proposed procedure, which does not need laboratory gold standard devices, has been satisfactorily tested showing that a simple manually drill-based pneumotachograph can be calibrated (i.e., K_1 and K_2 measured) from maneuvers with a resuscitation bag and water displacement measurement, thereby allowing accurate flow and tidal volume measurements when employed to assess mechanical ventilation. In addition to its use for the training for a correct setting of mechanical ventilation or for experimental studies, the simple pneumotachograph described herein can be incorporated into clinical practice after approval by the competent authorities in each region (local government bodies or hospital ethical committees).

Pneumotachograph

From a geometrical viewpoint, the type of pneumotachograph proposed and evaluated here can be seen as a modification of the capillary-based approach in conventional Fleisch-type pneumotachographs: capillaries are replaced by parallel cylindrical drilled holes (Figure 1). However, from a flow-dynamics perspective, this geometrical modification implies that the $P-V'$ relationship in the resistor does not follow the linear Poiseuille law, but rather is explained by the quadratic Rohrer model. This model is the description of the $P-V'$ relationship of a perforated-plate model (17), a particular case of porous materials which are typically interpreted with the Darcy-Forchheimer (18) quadratic model in which the resistor is characterized by a linear (K_1) and a non-linear (K_2) component conceptually representing the contribution of capillaries and constrictions, respectively (19). In fact, this quadratic model is already well-known in the fields of respiratory physiology and mechanical ventilation, since it was first employed in the seminal work of Rohrer almost one century ago (16) and is nowadays being used to characterize the $P-V'$ relationship in endotracheal

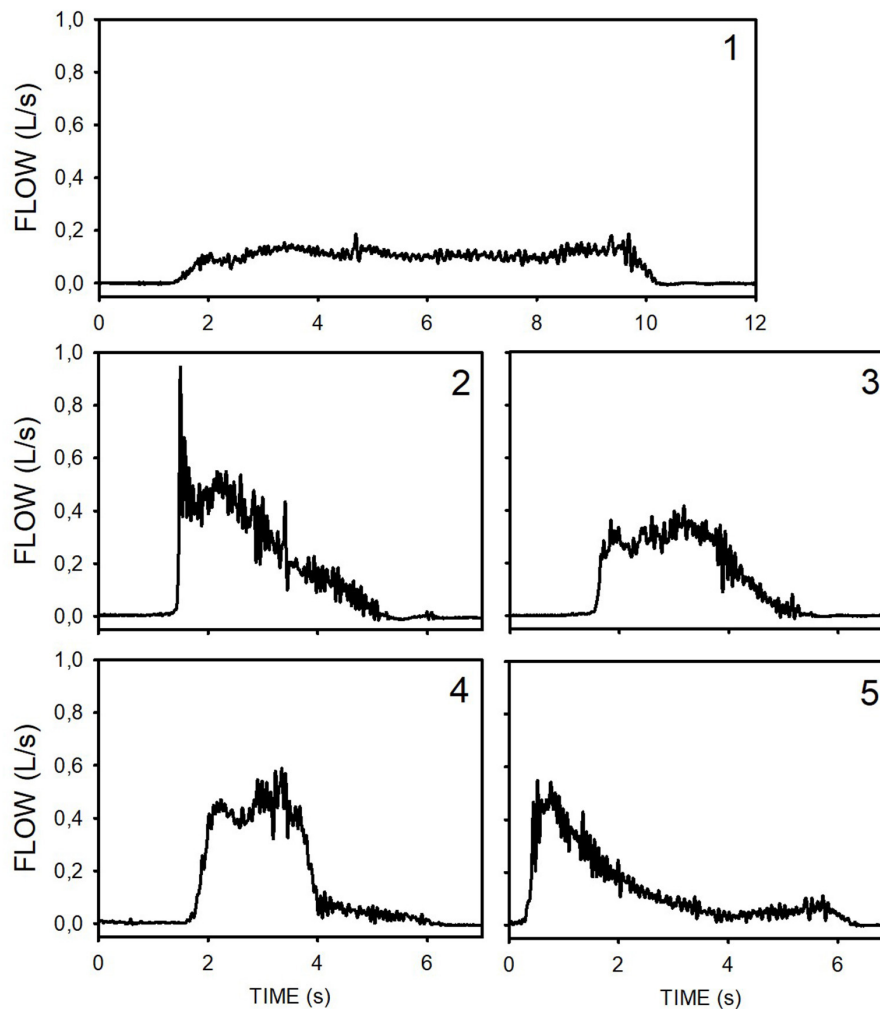


FIGURE 4

Time course of flow along the four maneuvers carried out manually with the resuscitation bag to calibrate the low-cost pneumotachograph. These flow signals were measured with a reference pneumotachograph. The number of maneuver (1–5) corresponds to those in Table 2.

tubes (20–22). Figure 3 and Table 1 show that manually drilling different combinations of perforations (in number and diameter) results in pneumotachographs with selectable effective resistances fitting the Rohrer model. Interestingly, a suitable combination, such as in pneumotachograph 3, has a low-flow resistance that is similar to that of conventional pneumotachographs (e.g., 0.2–0.3 cmH₂O·s/L in Fleisch-II type) presenting maximum resistance well-below 1 cmH₂O·s/L at peak spontaneous breathing flows (≈ 1 L/s), enabling its use not only for mechanical ventilation but also for recording spontaneous ventilation in a wide variety of patients and clinical applications such as monitoring resting ventilation or studying sleep apnea.

The K_1 and K_2 values in Table 1 show that the pneumotachographs were highly symmetric, suggesting

that minor errors would result if both inspiratory and expiratory flows were measured by using the mean values of K_1 and K_2 in any given pneumotachograph. Indeed, for flow ranges up to 1 L/s, such error would be very small (<2%) in the pneumotachographs with lower resistance and limited to <4% in the most resistive pneumotachograph. However, this potential error can be easily avoided by using the K_1 and K_2 coefficients corresponding to each flow direction. Indeed, inspiratory and expiratory flows/volumes in mechanical ventilation are usually measured by two different pneumotachographs, one placed in the inspiratory line and the other one in the expiratory line of the ventilator circuit. Interestingly, this separated-line setting facilitates the detection (and correction if required) of any potential zero-flow offset in each transducer pressure signals. Such zero-flow correction would avoid any potential error in

TABLE 2 K_1 and K_2 of the low-cost pneumotachograph when measured from two manual maneuvers (Table 1).

	K_1 cmH ₂ O·s/L	K_2 cmH ₂ O·(s/L) ²
Maneuvers 1 and 2	0.217	1.101
Maneuvers 1 and 3	0.209	1.115
Maneuvers 1 and 4	0.220	1.069
Maneuvers 1 and 5	0.215	1.119
Mean	0.215	1.101
SD	0.005	0.022
CV (%)	2.7	2.1

Maneuvers 1, 2, 3, 4, and 5 are described in the text and shown in Figure 4.

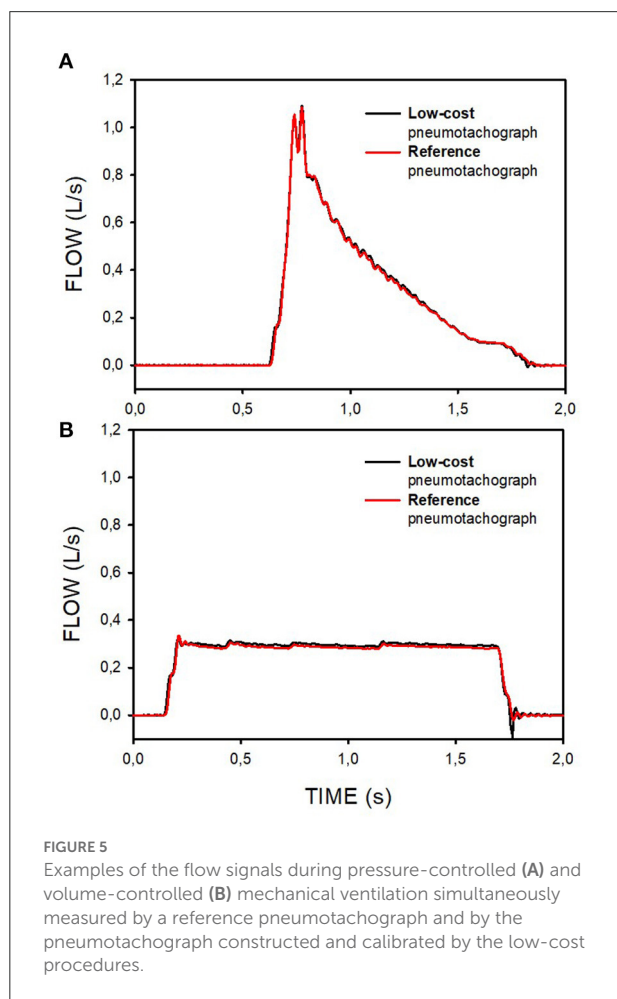


FIGURE 5 Examples of the flow signals during pressure-controlled (A) and volume-controlled (B) mechanical ventilation simultaneously measured by a reference pneumotachograph and by the pneumotachograph constructed and calibrated by the low-cost procedures.

the flow and volume computation from the pneumotachograph pressure, thereby facilitating the use of low-cost differential pressure transducers even in case they are not thermally compensated (i.e., having slightly variable offset). In this connection, it is worth noting that the current e-market offers differential pressure transducers with excellent performance

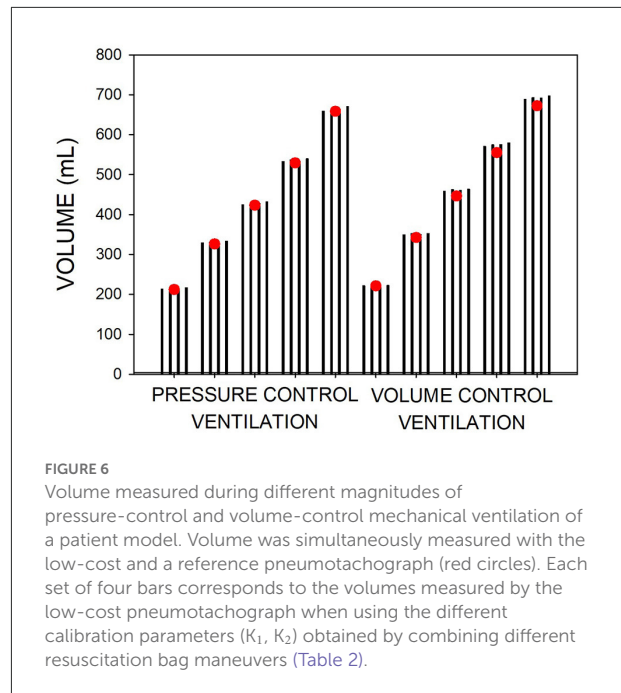


FIGURE 6 Volume measured during different magnitudes of pressure-control and volume-control mechanical ventilation of a patient model. Volume was simultaneously measured with the low-cost and a reference pneumotachograph (red circles). Each set of four bars corresponds to the volumes measured by the low-cost pneumotachograph when using the different calibration parameters (K_1 , K_2) obtained by combining different resuscitation bag maneuvers (Table 2).

characteristics (data not shown), requiring no additional conditioning circuit since the pressure output signal is directly provided (e.g., CFSensor, XGZP6897A, ± 5 cmH₂O; ≈ 11 € in www.Alibaba.com on May 2022). Such ready-to-use transducers connected to the low-cost pneumotachograph allow effective solutions affordable for low resource regions.

Contrary to the complex and expensive fabrication process required by pneumotachographs based on narrow capillaries (Fleisch-type) or mesh screen (Lilly-type), or to alternatives requiring a 3D printer (23), the proposed resistor can be constructed at virtually no cost by simply drilling any material including metals and non-toxic sterilizable polymers (rather than the PVC used in this study as proof of concept). It must be acknowledged, however, that such simplifications in the resistor construction imply the assumption of non-linearity. However, this is not a problem since the P-V' relationship is well-characterized by K_1 and K_2 , and the computation of flow from pneumotachograph pressure (Equation 2, Supplementary material) is currently straightforward by any processor already incorporated into the simplest mechanical ventilator or even by any stand-alone low-cost Arduino-type based circuit.

The requirement for individual calibration of each resistor is not specific to the proposed pneumotachograph but is in common with conventional Lilly- and Fleisch-type devices. The reason is that the resistor P-V' relationship (either linear or non-linear) critically depends on the exact dimensions of the tiny sensing elements (e.g., diameter in capillary/drills, deviation of exact parallelism in some of the manually drilled holes, size of fiber and holes in a mesh, and rugosity of the surfaces) that

cannot be precisely reproduced during the construction and assembling of each pneumotachograph.

Calibration

A key point in the present study is that the pneumotachograph can be accurately calibrated in a way that does not require a laboratory gold standard device for measuring flow/volume. This approach is based on determining K_1 and K_2 from any two maneuvers (for instance manually generated by a resuscitation bag) whose volume is measured by a simple water displacement measurement. The water displacement procedure we implemented by using a common plastic bottle (Figure 2) resulted in very satisfactory results (Figure 6). However, some practical details should be considered and discussed regarding the background hypothesis and the specific experimental setting.

The underlying assumption is that when ambient air (from a syringe or resuscitation bag) is introduced into the chamber (at constant pressure and temperature), the volume of the total air mixture (and thus the volume of displaced water) is the addition of the air volumes before mixing. The fulfillment of this hypothesis depends on the relative humidities of the air volumes before and after mixing. According to the analysis in [Supplementary material](#), the error in estimating the air volume of the maneuver (V_2) from the difference ($\Delta V = V_{12} - V_1$) between the volume of the air mixture (V_{12}) and the initial air volume in the chamber (V_1) depends on the partial pressure of water vapor at room temperature, on volumes V_1 and V_2 and on the relative humidities of room air and of air in the chamber before and after air mixing. For conditions closely approximating the specific setting we employed ($\approx 20^\circ\text{C}$, room air at $\approx 50\%$ humidity, $V_1 \approx 1\text{ L}$, $V_2 \approx 1\text{ L}$) and reasonably assuming that the air enclosed in the chamber is initially saturated with water vapor (achieved after sufficient time equilibrium with the liquid water), the volume error would be $<1.1\%$ (this maximum error value occurring in the case that the mixed air gets 100% water saturation during the very few-seconds of the maneuver). Accordingly, given its negligible value, we did not correct this potential error. However, depending on the specific setting employed to implement Figure 2, easy to apply corrections according to [Supplementary material](#) could be required.

Since using a scale is a simple way to assess the volume of displaced water from its weight, we assumed that tap water density is 1 g/L. This provides a sufficiently accurate volume measurement (error $<0.5\%$) since: (a) pure water density at 20°C is 0.9982 g/L and its dependence on laboratory temperature varies by only 0.2% between 15 and 25°C (24), and (b) the densities of mineral or tap water differ from that of pure water by $<0.1\%$ (25). Interestingly, we

verified that water weight could be measured with sufficient accuracy ($\pm 2\text{ mL}$) using a conventional low-cost kitchen scale. However, assessing the volume of displaced water with a scale can be avoided by simply measuring it directly with a graduated cylinder.

Practical assessment of the procedure during mechanical ventilation

We tested the proposed procedure when performed under conditions exactly reproducing the ones operationally implemented in clinical settings of LMICs, namely avoiding the use of any laboratory reference device: the pneumotachographs were constructed manually, and they were calibrated by resuscitation bag maneuvers whose volume was measured by water displacement with a setting based on a common-use 5-L plastic bottle. We verified that K_1 and K_2 derived from manual resuscitation bag maneuvers carried out by an operator unaware of the aim resulted in very small variation in the calibration coefficients (Table 2), leading to minor variance in tidal volume computation (Figure 6). However, any user of the procedure aiming to ensure more robust K_1 and K_2 estimation can repeat the 2-maneuvers process several times to average the resulting parameters (and eventually exclude artifactual data). Using repeated syringe maneuvers was already reported for improving the calibration of conventional pneumotachographs (14, 26).

It is worth mentioning that the errors we found in measuring tidal volume (Figure 6) are similar to the ones reported for mechanical ventilators routinely working in well-serviced ICUs (11), and were minor when compared with the required precision for clinically managing mechanical ventilation. Indeed, the errors in Figure 6 were much lower than the ones accepted for tidal volume measurements. For instance, a recommendation is that volume accuracy is within $\pm (4.0\text{ mL} + 15\% \text{ of volume})$ (27). For the highest tidal volume we tested (Figure 6), such tolerance error would be 105 mL, a value far higher than the one we found (26 mL) using the worst $K_1 - K_2$ combination (Figure 6). Moreover, from a clinical perspective the errors we found in determining typical tidal volumes ($\leq 4\%$) are very low. For instance, when addressing protective mechanical ventilation, the typical tidal volume range of 6–8 mL per kg of body weight (28) gives a window of $\approx 30\%$ amplitude. Moreover, when separating patient phenotypes by low and high respiratory compliance (derived from volume measurement), the intermediate phenotype (29) ranges (40–50 mL/cmH₂O), i.e., a 20% amplitude window again much greater than our potential errors in volume measurement ($\leq 4\%$; Figure 6). Whereas, this work was carried out on the assumption of adult mechanical ventilation (flow and volume ranges), the procedure can be adapted to monitoring infant ventilation by

adequately scaling the sizes of the pneumotachograph and the resuscitation bag and the ranges of flows and volumes.

Conclusions

This work provides solid laboratory evidence that accurate pneumotachographs can be very easily constructed, and that they can be calibrated with no need for conventional and expensive gold standard reference devices in advanced laboratories. Accordingly, the proposed approach facilitates the low-cost and simple availability of pneumotachographs for accurately controlling mechanical ventilation in low-resource settings, either by incorporating them into the ventilators or as external measuring devices for quality control.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary material, further inquiries can be directed to the corresponding author/s.

Author contributions

RF conceived the study, analyzed the data, wrote the manuscript, and supervised the work. MR-L carried out the

experiments. GT, DN, DG, and JO contributed to discussing the research, interpreting the data, and providing insightful comments. All authors contributed to the article and approved the submitted version.

Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmed.2022.938949/full#supplementary-material>

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SUPPLEMENTARY MATERIAL

Simple low-cost construction and calibration of accurate pneumotachographs for monitoring mechanical ventilation in low-resource settings

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CHARACTERIZATION OF THE PRESSURE-FLOW RELATIONSHIP IN THE PNEUMOTACHOGRAPHS

The values of K_1 and K_2 corresponding to the five pneumotachographs that were constructed were measured by connecting them to the outlet of a high-accuracy computerized syringe designed for pneumotachograph calibration (Pulmonary Waveform Generator (PWG), MH Custom Design & Mfg LC. Utah, USA). The pressure signals (P) measured across the pneumotachograph by a low-pass filtered (8-pole Butterworth, 32 Hz) differential transducer (± 2 cmH₂O, LCVR; Celesco, Canoga Park, CA, USA) were compared with the corresponding constant flows (V') generated by the gold standard syringe. Fitting the Rohrer model to the P - V' data from each pneumotachograph provided the corresponding values of K_1 and K_2 for both flow directions (syringe inhalation and exhalation).

CALIBRATION OF A NON-LINEAR PNEUMOTACHOGRAPH FROM TWO MANEUVERS OF KNOWN VOLUME

Theoretical background.

For positive flows, the general pressure-flow relationship described by the Rohrer model ($P = K_1 \cdot V' + K_2 \cdot |V'| \cdot V'$) is simplified to $P = K_1 \cdot V' + K_2 \cdot V'^2$, which is a quadratic equation on variable V' :

$$K_2 \cdot V'^2 + K_1 \cdot V' - P = 0 \quad (1)$$

that can be solved as

$$V' = \alpha \cdot [\sqrt{(1 + \beta \cdot P)} - 1] \quad (2)$$

where coefficients α and β are

$$\alpha = \frac{K_1}{2 \cdot K_2} \quad \beta = \frac{4 \cdot K_2}{K_1^2} \quad (3)$$

The air volume corresponding to a maneuver involving a flow waveform $V'(t)$ is

$$V(t) = \int V'(t) \cdot dt \quad (4)$$

In case the flow signal is sampled with a time interval ΔT (sampling frequency = $1/\Delta T$), Equation 4 becomes

$$V = \sum V'_i \cdot \Delta T \quad (5)$$

where subindex i denotes the flow samples, and summation \sum extends for the total number of data samples from the maneuver.

Should the flow signal be measured by the pressure drop (P) across a resistor characterized by K_1 and K_2 , Eq. 2 can be used in Eq. 5 to compute the air volume (V) in the maneuver as

$$V = \Delta T \cdot \sum \alpha \cdot [\sqrt{(1+\beta \cdot P_i)} - 1] \quad (6)$$

Characterization of a Rohrer-type pneumotachograph (i.e. to determine K_1 and K_2) can be achieved from the pressure (P_1 and P_2) recordings from two maneuvers with known volumes V_1 and V_2 , respectively. Indeed, according to Eq. 6,

$$V_1 = \sum V'_1 \cdot \Delta T = \Delta T \cdot \alpha \cdot \sum [\sqrt{(1+\beta \cdot P_{1i})} - 1] \quad (7)$$

$$V_2 = \sum V'_2 \cdot \Delta T = \Delta T \cdot \alpha \cdot \sum [\sqrt{(1+\beta \cdot P_{2i})} - 1] \quad (8)$$

The system of Equations 7 and 8 can be simply reduced to the following equation having only one unknown (β):

$$V_1 \cdot \sum [\sqrt{(1+\beta \cdot P_{2i})} - 1] - V_2 \cdot \sum [\sqrt{(1+\beta \cdot P_{1i})} - 1] = 0 \quad (9)$$

which can be solved for β by minimizing ε in

$$\varepsilon = V_1 \cdot \sum [\sqrt{(1+\beta \cdot P_{2i})} - 1] - V_2 \cdot \sum [\sqrt{(1+\beta \cdot P_{1i})} - 1] \quad (10)$$

and, subsequently solved for α by using either Eq. 7 or 8. Once α and β are determined, the values of K_1 and K_2 characterizing the pneumotachograph can be computed from Eq. 3 as

$$K_1 = \frac{2}{\alpha \cdot \beta} \quad K_2 = \frac{\beta \cdot K_1^2}{4} \quad (11)$$

Practical implementation.

Minimization of ε from P_{2i} , P_{1i} and V_1 and V_2 (Eq. 10) can be carried out by any conventional algorithm. However, given that this minimization is a converging process, we used a simple method based on starting with a reasonable initial value of β (e.g. β derived from values of K_1 and K_2 in Table 1, main publication) and subsequently computing ε and adjusting β until getting minimization (usually after ≈ 10 steps).

SIMPLE ACCURATE MEASUREMENT OF AIR VOLUME BY WATER DISPLACEMENT

Theoretical background.

The two-maneuver-based method described above for calibrating a non-linear pneumotachograph requires accurately knowing the air volume of the maneuvers. Usually, in a respiratory lab, this could be achieved either by generating the maneuver with a reference syringe or by using a high-accuracy reference pneumotachograph to measure the volume of the maneuver. However, such gold standard devices are not easily available in low-resource settings. Accordingly, we have devised a simple, low-cost procedure for accurately measuring the air volume in each maneuver requiring no volume/flow gold standard devices.

The proposed setting, which is based on measuring the volume of water displaced by the airflow maneuver, which is collected by an external recipient and measured (Figure 2, main publication). The procedure is based on assuming that when a volume V_2 of room air is introduced into a container with fully compliant walls having an air volume V_1 and a water liquid phase, V_1 and V_2 are mixed at constant room pressure (P) and temperature (T). Figure S1.A shows the case when air volume V_1 is introduced to the chamber by means of a fully compliant bag-in-box setting: regardless of the relative humidities of V_1 and V_2 , the final volume of the gas mixture (V_{12}) is $V_1 + V_2$. However, in case a bag-in-box setting is not used (Figure S1.B), the volume of the air mixture may be different from V_1+V_2 depending on the relative humidities of the air (H). Indeed, whereas the number of mols of dry gas (those excluding water vapor) in the mixture will be the addition of the ones from V_1 and V_2 , the number of mols of water vapor would depend on initial and final air humidities. The number of dry-gas mols (n_1 and n_2) of V_1 and V_2 , each with initial relative humidities H_1 and H_2 , respectively, are:

$$n_1 = \frac{V_1 \cdot (P - P_{H_2O} \cdot H_1)}{R \cdot T} \quad n_2 = \frac{V_2 \cdot (P - P_{H_2O} \cdot H_2)}{R \cdot T} \quad (12)$$

where P_{H_2O} is the water vapor pressure at T , and R is the constant of gases. Hence, the volume of the air mixture (V_{12}) will be the one corresponding to the number of mols of dry air ($n_1 + n_2$) at humidity H_{12} :

$$V_{12} = \frac{V_1 \cdot (P - P_{H_2O} \cdot H_1) + V_2 \cdot (P - P_{H_2O} \cdot H_2)}{(P - P_{H_2O} \cdot H_{12})} \quad (13)$$

Thus, the volume of the air mixture (V_{12}) depends on initial (H_1 , H_2) and final (H_{12}) humidities. Whereas the air mixture would finally get an equilibrium state with the water liquid phase, i.e. $H_{12} = 1$ (100% water vapor saturation), H_{12} may exhibit other values during the transient phase towards equilibrium depending on the condensation/evaporation rates in the system.

According to Eq. 13, the increase in volume ($\Delta V = V_{12} - V_1$) experienced by the gas mixture in the chamber –which would be simply V_2 in case condensation/evaporation of water vapor was not involved– is

$$\Delta V = V_1 \cdot \left[\frac{(P - P_{H_2O} \cdot H_1)}{(P - P_{H_2O} \cdot H_{12})} - 1 \right] + V_2 \cdot \frac{(P - P_{H_2O} \cdot H_2)}{(P - P_{H_2O} \cdot H_{12})} \quad (14)$$

In the trivial case where initial and final relative humidities are 100% (all gases saturated with water vapor ($H_1 = H_2 = H_{12} = 1$)), ΔV would be equal to V_2 . However, in case the air introduced in the chamber is not saturated with water vapor ($H_2 < 1$), as is common in ambient air, ΔV would depend on whether V_1 was initially saturated and on whether the mixture achieves final saturation.

If the process is observed for a time period much shorter than the time required for eventual equilibrium by evaporation/condensation of water in the whole setup, the initial mols of water vapor would be maintained whatever the initial conditions of relative humidity and therefore $\Delta V = V_2$. By contrast, if the process is observed on a time scale long enough for the air mixture to achieve humidity equilibrium with liquid water (i.e., $H_{12} = 1$), two possible situations can be considered:

a) If V_1 was already saturated ($H_1 = 1$), Eq. 14 results in

$$\Delta V_a = V_2 \cdot \frac{(P - P_{H_2O} \cdot H_2)}{(P - P_{H_2O})} \quad (15)$$

indicating that $\Delta V_a (\geq V_2$ for $H_2 < 1$) is the change of volume experienced when V_2 increases humidity from H_2 to $H_2=1$ (100% saturation).

b) If V_1 was initially at the same humidity conditions as V_2 , (i.e. $H_1=H_2$) -for instance when the air chamber is initially replaced with room air-, then Eq. 14 results in

$$\Delta V_b = V_1 \cdot \left[\frac{(P - P_{H_2O} \cdot H_2)}{(P - P_{H_2O})} - 1 \right] + V_2 \cdot \frac{(P - P_{H_2O} \cdot H_2)}{(P - P_{H_2O})} \quad (16)$$

indicating that both V_1 and V_2 increase the content of water vapor when changing from humidity $H_1 = H_2$ to 100% saturation. Accordingly, $\Delta V_b > \Delta V_a$ and whereas ΔV_a only depends on the air volume introduced into the chamber (V_2), ΔV_b depends on both V_2 and the initial air volume in the chamber (V_1).

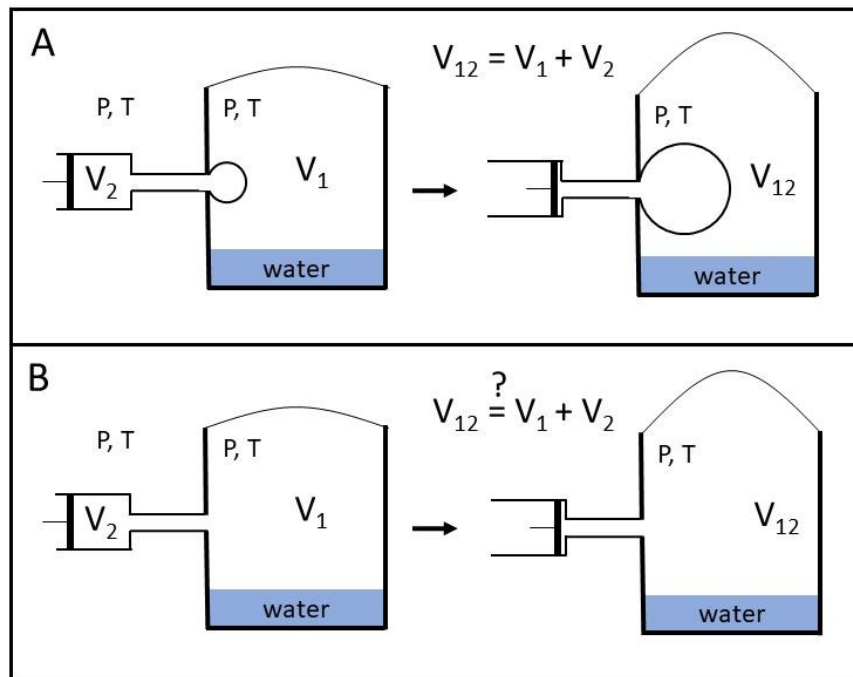


Figure S.1. Volume of a gas mixture. (A) Setting with a fully compliant bag-in-box. (B) No bag-in-box setting. A volume V_1 of air is inside a chamber containing liquid water, and a volume V_2 of room air is introduced into the chamber. The top wall of the chamber is fully compliant so that pressure (P) inside the chamber is kept constant and equal to ambient pressure. Temperature is constant along the process. In (A) the volume of the air mixture (V_{12}) = $V_1 + V_2$. In (B), V_{12} may be different from $V_1 + V_2$, depending on the initial and final relative humidities of air. See text for explanation.

It is interesting to note that a minor correction of the measured water volume is required to account for the compliance of the setting (Figure 2, main publication). Indeed, at the end of the maneuver, the setting remains compressed at a pressure (ΔP) corresponding to a column of water of height h (Figure 2.A, main publication), hence ΔP

$= \rho \cdot g \cdot h$ ($g = 9.8 \text{ m/s}^2$). Accordingly, the volume of displaced water measured does not exactly correspond to the volume of air introduced into the chamber by the syringe since a minor fraction is shunted by the compression of the air within the syringe, and by the compliance of the chamber walls if not perfectly rigid (e.g., in the case of a plastic bottle chamber). Therefore, the volume of water that is displaced and measured (V_w) is the volume indicated by the syringe piston position (V_p) minus the volume shunted ($V_{sh} = \Delta P \cdot C_{sh}$) by the total compliance of the setting (C_{sh}), and hence $V_p = V_w + \rho \cdot g \cdot h \cdot C_{sh}$. The magnitude of such required correction, which depends on the specific implementation of the system in Figure 2 (main publication), is reduced as h and/or C_{sh} are reduced. To carry out the correction in our setting, C_{sh} was measured by occluding the chamber outlets and by recording the pressure increases caused by small air volumes injected with a conventional syringe.

Practical implementation

The volume of displaced water was assessed by weighting it with a low-cost electronic kitchen scale and using water density ($\rho = 1 \text{ g/mL}$). Remarkably, this scale was compared with a high-accuracy laboratory scale (range 0–1000 g, 0.01g; WLC 1/A2/C2, Radwag, Radom, Poland), exhibiting accuracy within $\pm 2 \text{ g}$. For the specific setting we employed (Figure 2, main publication) this correction was small since, in the setting, h was only 5.1 cm for a measured volume of displaced water (V_w) of 1 L. Taking into account that the measured compliance of the setting (C_{sh}) was 3.77 mL/cmH₂O (corresponding to a pressure increase of 5.3 cmH₂O when 20 mL of air were injected into the occluded system), the magnitude of correction for $V_w = 1 \text{ L}$ would be $V_{sh} (= \Delta P \cdot C_{sh})$ would be 19.2 mL (i.e. a 1.9% correction in volume).

INSPIRATORY FLOW WAVEFORMS FOR TESTING THE PNEUMOTACHOGRAPH DURING MECHANICAL VENTILATION

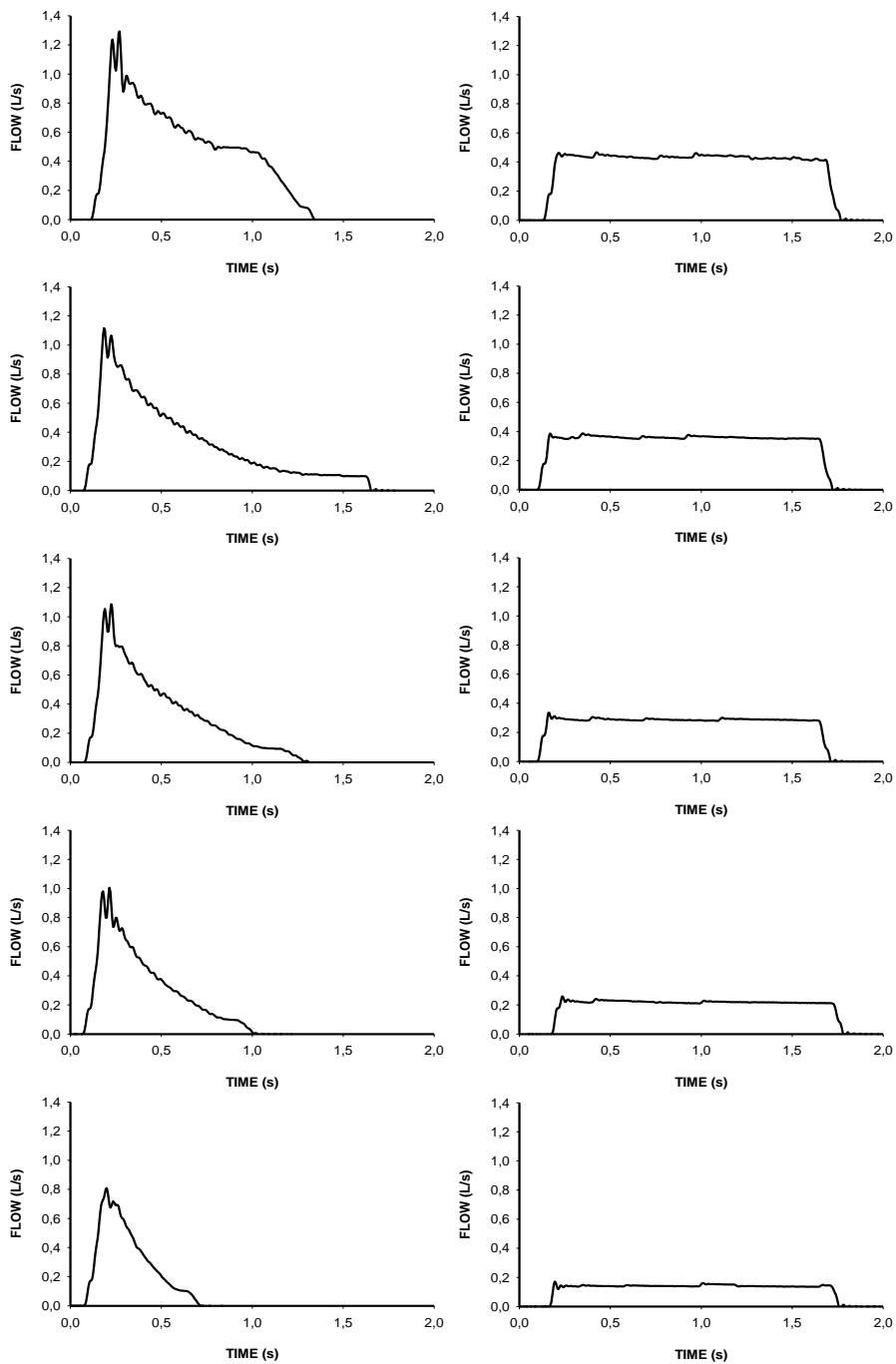


Figure S.2. Inspiratory flow when testing the low-cost pneumotachograph during pressure-controlled (left) and volume-controlled (right) mechanical ventilation as described in the Methods subsection “Assessment of the low-cost pneumotachograph and calibration procedure to monitor mechanical ventilation” of the main publication.